

Risk Control Self-Assessment Checklist

This resource is designed to help clinical social workers evaluate risk exposures associated with their current practice.

Clinical Specialty	Yes	No	Action(s) needed to reduce risk
I work in an area that is consistent with my licensure, specialty certification, training, and experience.			
I know that my competencies (including experience, training, education, and skills) are consistent with the needs of my clients.			
I understand the specific risks of caring for clients within my clinical specialty.			
I decline an assignment if my competencies are not consistent with client needs.			
I ensure that my competencies and experience are appropriate before accepting an assignment to cover for another practitioner.			
I am provided with or request and obtain orientation whenever I work in a new or different client setting.			
I obtain continuing education and training, as needed, to maintain my competencies in my specialty.			
Scope of Practice and Services			
I read my state practice law at least once every year to ensure that I understand and comply with the legal scope of practice in my state.			
I know and comply with the requirements of my state regarding supervisory contracts/agreements, and I review and renew my agreements accordingly at least annually.			
I comply with the requirements of my state regarding other regulatory agencies, such as the Department of Health and the Department of Behavioral Health.			
I collaborate with or am supervised by a counselor or physician as defined by my state laws and regulations and as required by the needs of my clients			

I decline to perform requested actions/ services if they are outside of my legal scope of practice			
I am licensed / certified in each state in which I practice telehealth, and I am aware of all applicable state-specific scope of practice regulations when using telehealth.			
Assessment			
I elicit the client's concerns and reasons for the visit and address those concerns.			
I obtain and document a current list of the client's prescribed and over-the-counter medications, including vitamin supplements and holistic / alternative remedies			
I compile, document, and utilize an appropriate client clinical history, as well as relevant social and family history.			
I ascertain the client 's level of compliance/adherence with currently ordered/agreed treatment and care instructions, medication regimens and lifestyle suggestions.			
I adhere to facility/professional/payer documentation standards/requirements regarding assessment findings, documenting all pertinent information in a timely, accurate and appropriate manner			